JAMES MICHAEL POTERBA
Department of Economics, E52-444
Massachusetts Institute of Technology
77 Massachusetts Avenue
Cambridge, MA 02139
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Personal Information:

Born 1958, Married to Nancy Lin Rose, Children Margaret, Matthew and Timothy

Education:

D.Phil. (Economics) Oxford, June 1983
M.Phil. (Economics) Oxford, June 1982
A.B. (Economics, Summa Cum Laude) Harvard College, June 1980

Employment:

2024-	Chair, Trustees of the College Retirement Equity Fund (CREF)
2008-:	President and Chief Executive Officer, National Bureau of Economic Research
2006-8:	Department Head, MIT Economics Department
2006-:	Trustee, College Retirement Equity Fund (CREF) and TIAA-CREF Mutual Funds (Chair, Corporate Governance & Social Responsibility Committee, 2007-17; Chair, Audit Committee, 2018-2023)
1996-:	Mitsui Professor of Economics, MIT
1994-2006:	Associate Department Head, MIT Economics Department (except 2000-2001)
1991-2008:	Director, NBER Public Economics Research Program
1989-91:	Associate Director, NBER Taxation Research Program
1988:	CRSP Visiting Professor of Finance, University of Chicago GSB
1988-96:	Professor of Economics, MIT
1986-8:	Associate Professor of Economics, MIT
1983-6:	Assistant Professor of Economics, MIT
1982-3:	Junior Research Fellow, Nuffield College, Oxford
1982:	Instructor in Economics, MIT

Editorial Positions:

Editorial Advisory Board, National Tax Journal (2007-)
Advisory Editor, Journal of Public Economics (2007-)
Advisory Board, Retirement Income Review (2002-)
Advisory Board, Journal of Wealth Management (1998-2016)
Editor, Journal of Public Economics (1998 -2006)
Advisory Board, Journal of Investment Consulting (1998-2005)
Associate Editor: Regional Science & Urban Economics (1997-2004)
Associate Editor, Review of Economics & Statistics (1993-2002)
Co-Editor: Journal of Public Economics (1995 - 1997)
Co-Editor: RAND Journal of Economics (1986 - 1995)
Associate Editor, Journal of Finance (1988 - 2000)

Fellowships & Other Honors:

2022:	Distinguished Fellow, American Economic Association
2021:	Fellow, American Finance Association
2019:	Honorary Doctor of Laws, University of Notre Dame
2019:	Frank E. Perkins Award for Excellence in Graduate Advising at MIT
2017:	Corresponding Fellow, British Academy
2015:	Member, National Academy of Sciences
2014:	Daniel Holland Award, National Tax Association
2012:	Retirement Income Industry Ass'n: Achievement in Applied Retirement Research Award
2008:	Distinguished Fellow, House of Finance, Goethe University (Frankfurt)
2007-:	International Research Fellow, Center for Business Taxation, Oxford University
2006:	International Research Fellow, Institute for Fiscal Studies (London)
2005:	EFACT Honorary Award, Tilburg University
2004-6:	TIAA-CREF Institute Fellow
2003:	CES Distinguished Fellow, University of Munich
2003:	Honorable Mention, Culp-Wright Book Award, American Risk and Insurance Ass'n
2002:	American Council on Capital Formation, Distinguished Scholar
2000-1:	George and Karen McCown Distinguished Visiting Fellow, Hoover Institution
2000:	Duncan Black Prize, Public Choice Society
1999:	National Academy of Sciences Award for Scientific Reviewing
1996-:	Fellow, American Academy of Arts and Sciences
1996, 2004:	Certificate of Excellence, Paul Samuelson Prize, TIAA-CREF
1993-4:	Fellow, Center for Advanced Study in Behavioral Sciences
1992-2024:	Fellow, National Academy of Social Insurance
1992-3:	Senior Fellow, Institute for Policy Reform
1990,1993, 1995, 2002:	MIT Graduate Economics Association Teacher of the Year
1988-:	Fellow, Econometric Society
1988:	Alfred P. Sloan Fellowship
1986:	James L. Barr Award
1986:	Batterymarch Financial Fellowship
1985-:	Research Associate, NBER
1982-5:	Faculty Research Fellow, NBER
1982:	George Webb Medley M.Phil. Thesis Prize
1980-3:	Marshall Scholarship
1980:	John Williams Prize, Harvard College
1979:	Phi Beta Kappa

Invited Lectures:

2024:	Alfred Marshall Lectures, Cambridge University
2020:	Haslam Distinguished Lecture, University of Tennessee - Knoxville
2018:	Richard Suzman Memorial Lecture, RAND Corporation
2018:	Morishima Lecture, STICERD, London School of Economics
2017:	Bradley Lecture, University of Wisconsin - Milwaukee
2017:	Karl Borch Lecture, Norwegian School of Economics
2016:	Cornelson Distinguished Lecture, Davidson College
2014:	Richard T. Ely Lecture, American Economic Association
2012:	Donald Gilbert Lecture, University of Rochester

2012: W. A. Mackintosh Lecture, Queens University
2012: Clarendon Lectures, Oxford University
2010: Harris Lecture, Clemson University
2009: Geary Lecture, Economic and Social Research Institute (Dublin)
2008: Plenary Lecture, Society of Economic Dynamics
2007: Plenary Lecture, North American Summer Econometric Society Meetings
2006: AEA/AFA Joint Luncheon Speaker
2005: Elder Law Journal Lecture, University of Illinois
2003: Hahn Lecture, Royal Economic Society
2003: Marsh & McLennan Lecture, Furman University
2003: Munich Lectures, CESifo, Munich
2002: Watson-Wyatt Lecture, City University of London
2001: David Kinley Lecture, University of Illinois
2000: Institute for Fiscal Studies Lecture, London
2000: Review of Economics and Statistics Lecture, Harvard University
1996: Woytinsky Lecture, University of Michigan

Other Professional Activities:

2020-22: Advisory Group, National Science Foundation Social Experts Action Committee
2015-8: NAS Section 54 Liaison to National Research Council
2014-: Faculty Affiliate, Sloan Finance Group, Alfred P. Sloan School of Management (MIT)
2013-9: Headmaster's Council, Roxbury Latin School
2012: President, Eastern Economic Association (President-Elect 2011, Vice-President, 2010)
2012-14: Massachusetts Governor's Council of Economic Advisers
2011: Program Committee, National Tax Association
2010-12: Member, National Research Council Panel on Macroeconomic Implications of Population Aging
2009-: Trustee, Alfred P. Sloan Foundation
2009: President, National Tax Association (First [Second] Vice-President 2008 [2007])
2009: Vice President, American Economic Association
2007-9: Member, Retirement Security Task Force, Investment Company Institute
2007: Program Committee, American Economic Association
2006-8 Chair, Honors and Awards Committee, American Economics Association
2006-7: Member, MIT Advisory Committee on Shareholder Responsibility
2006-: Economics Advisory Panel, Congressional Budget Office
2005-20: Advisory Board, Stanford Institute for Economic Policy Research
2005: Panel Member, President’s Advisory Panel on Federal Tax Reform
2005: Selection Committee, TIAA-CREF Samuelson Award Selection Committee
2004-8: Member, Honors and Awards Committee, American Economics Association
2004-5: Chairman, Curriculum Advisory Panel, Investment Management Consultants Assn.
2004,2010: Nominating Committee, American Economics Association
2004: Economics Visiting Committee, University of California – Berkeley
2004: Program Committee, American Finance Association
2003: New York Stock Exchange Options Voting Committee
2003-5: Chair, American Academy of Arts and Sciences, Class III:2 Membership Committee
2003-5: Academic Advisory Panel, Federal Reserve Bank of New York
2003: Audit Committee, American Economic Association
2001-3: Executive Committee, American Economics Association
2001,2004: Program Committee, American Economic Association

2000-2010:	Director, Jeffrey Company and Jeflion Company
2000-1:	AIMR After-Tax Reporting Subcommittee
1999-2005:	Member, MIT 401(k) Plan Oversight Committee
1997-2001:	Trustee, MIT Retirement Plan for Staff Members
1997:	Committee on Nominating Procedures, American Economic Association
1997-8:	American Academy of Arts and Sciences, Class III:2 Nominating Committee
1996,2000:	National Science Foundation, Economics Program Committee of Visitors
1996-2001:	AIMR, Committee on Education & Research
1995-7:	National Institute of Health, Social Science Study Section Member
1995:	Academic Review Panel, Princeton University
1995-2004:	Economics Advisory Panel, Congressional Budget Office
1994:	National Academy of Social Insurance, Chair, Research Committee
1994-8:	National Research Council: Member, Science, Technology, & Economic Policy Board
1994, 2003:	Program Committee, International Institute of Public Finance
1994-5:	Nominating Committee, American Economic Association
1993-4:	Program Committee, American Economic Association
1993-5:	Director, American Finance Association
1993-:	Research Advisory Board, American Council on Capital Formation
1993:	Advisor to Massachusetts Special Commission on Business Tax Policy
1993-2012:	Program Board, MIT Center for Energy and Environmental Policy Research
1992:	Program Committee Chair, Econometric Society Winter Meeting
1990-2:	NSF Economics Review Panel
1990:	Nominating Committee, American Finance Association
1988,1989, 1994,1997:	Program Committee, American Finance Association
1988,1990:	Program Committee, Econometric Society Winter Meeting

Publications in Journals:

1. "The Price of Popularity: The Political Business Cycle Reexamined," American Journal of Political Science 24 (1980), 696-714. (with D. Golden).

2. "Multiple Shooting in Rational Expectations Models," Econometrica 50 (1982), 1329-1333. (with D. Lipton, J. Sachs, and L. Summers).

3. "The Effective Tax Rate and the Pretax Rate of Return," Journal of Public Economics 21 (1983), 129-157. (with M. Feldstein and L. Dicks-Mireaux).

4. "Dividend Taxes, Corporate Investment, and 'Q'," Journal of Public Economics 22 (1983), 135-167. (with L. Summers).

5. "Response Variation in the CPS: Caveats for Unemployment Analysts," Monthly Labor Review, March 1984,37-43. (with L. Summers)

6. "Unemployment Insurance and Reservation Wages," Journal of Public Economics 23 (1984), 141-169. (with M. Feldstein).

7. "Tax Subsidies to Owner-Occupied Housing: An Asset Market Approach," Quarterly Journal of Economics 99 (1984), 729-745. (reprinted in Elgar Reference Series, The Economics of Housing, Volume 2, 1997).

8. "New Evidence that Taxes Affect the Valuation of Dividends," Journal of Finance 39 (1984), 1397-1415. (with L. Summers)

9. "The Market Value of Cash Dividends: The Citizens Utilities Case Reconsidered," Journal of Financial Economics 15 (1986), 395-405.

10. "A Tax Based Test of Nominal Rigidities," American Economic Review 76 (September 1986), 659-675. (with J. Rotemberg and L. Summers).

11. "Reporting Errors and Labor Market Dynamics," Econometrica 54 (November 1986), 1319-1338. (with L. Summers).

12. "The Persistence of Volatility and Stock Market Fluctuations," American Economic Review 76 (December 1986), 1142-1151. (with L. Summers).

13. "Tax Evasion and Capital Gains Taxation," American Economic Review 77 (May 1987), 234-239.

14. "Household Behavior and the Tax Reform Act of 1986," Journal of Economic Perspectives 1 (Summer 1987), 101-119. (with J. Hausman)

15. "Finite Lifetimes and the Effects of Budget Deficits on National Savings," Journal of Monetary Economics 20 (September 1987), 369-392. (with L. Summers)

16. "How Burdensome are Capital Gains Taxes? Evidence from the United States," Journal of Public Economics 33 (July 1987), 157-172.

17. "Tax Policy and Corporate Saving," Brookings Papers on Economic Activity 1987:2, 454-504.

18. "Are Consumers Forward Looking? Evidence from Fiscal Experiments," American Economic Review 78 (May 1988), 413-418.

19. "Mean Reversion in Stock Prices: Evidence and Implications," Journal of Financial Economics 22 (October 1988), 27-60 (with L. Summers).

20. "A Manufacturing Perspective on National Economic Policy," Harvard Business Review 66 (November 1988), 76-80. (with R. Dornbusch and L. Summers)

21. "Lifetime Incidence and the Distributional Burden of Excise Taxes," American Economic Review 79 (May 1989), 325-330. (reprinted in A. Auerbach, ed., Public Finance: Worth Series in Outstanding Contributions (New York: Worth Publishers, 1999).

22. "What Moves Stock Prices?," Journal of Portfolio Management 15 (Spring 1989), 4-12. (with D. Cutler and L. Summers).

23. "Second Mortgages and Household Saving," Regional Science and Urban Economics 19(1989), 325-346. (with J. Manchester)

24. "Capital Gains Tax Policy Toward Entrepreneurship," National Tax Journal 42 (September 1989), 375-390.

25. "Tax Reform and the Market for Tax-Exempt Debt," Regional Science and Urban Economics 19 (1989), 537-562.

26. "Inflation, Taxation, and Optimizing Governments," Journal of Money, Credit, and Banking 22 (1990), 1-18. (with J. Rotemberg)

27. "Speculative Dynamics and the Role of Feedback Traders," American Economic Review 80 (May 1990), 68-72. (with D. Cutler and L. Summers)

28. "An Aging Society: Opportunity or Challenge?," Brookings Papers on Economic Activity 1990:1, 1-74. (with D. Cutler, L. Sheiner, and L. Summers).

29. "Japanese and U.S. Cross-Border Common Stock Investments," Journal of Japanese and International Economies 4 (1990), 476-493. (with K. French).

30. "Comparing the Cost of Capital in the United States and Japan: A Survey of Methods," Federal Reserve Bank of New York Quarterly Bulletin (Winter 1991), 20-32.

31. "Investor Diversification and International Equity Markets," American Economic Review 81 (May 1991), 222-226. (with K. French).

32. "Speculative Dynamics," Review of Economic Studies 58 (May 1991), 529-546. (with D. Cutler and L. Summers)

33. "Were Japanese Stock Prices Too High?," Journal of Financial Economics 29 (October 1991), 337-364. (with K. French)

34. "Which Households Own Municipal Bonds? Evidence from Tax Returns," National Tax Journal 44 (December 1991), 93-103. (with D. Feenberg)

35. "House Price Dynamics," Brookings Papers on Economic Activity 1991:2, 143-203.

36. "Demographics and House Prices: The Canadian Evidence," Regional Science and Urban Economics 21 (December 1991), 539-546. (with G. Engelhardt)

37. "Taxation and Housing: Old Questions, New Answers," American Economic Review 82 (May 1992), 237-242.

38. "Global Warming: A Public Finance Perspective," Journal of Economic Perspectives 7 (Fall 1993), 47-63.

39. "Targeted Retirement Saving and the Net Worth of Elderly Americans," American Economic Review 84 (May 1994), 180-185. (with S. Venti and D. Wise)

40. "A Skeptic's View of Global Budget Caps," Journal of Economic Perspectives 8 (Summer 1994), 67-73.

41. "Tax Incentives and the Demand for Health Insurance: Evidence from the Self-Employed," Quarterly Journal of Economics 109 (August 1994), 701-733. (with J. Gruber)

42. "State Responses to Fiscal Crises: The Effects of Budgetary Institutions and Politics," Journal of Political Economy 102 (August 1994), 799-821.

43. "Lawrence H. Summers: 1994 John Bates Clark Medalist," Journal of Economic Perspectives 9 (Winter 1995), 165-182.

44. "Capital Budgets, Borrowing Rules, and State Capital Spending," Journal of Public Economics 56 (January 1995), 165-187.

45. "Money, Output, and Prices: Evidence from a New Monetary Aggregate," Journal of Business and Economic Statistics 13 (January 1995), 67-83. (with J. Driscoll and J. Rotemberg)

46. "The Effect of Property Tax Limits on Wages and Employment in the Local Public Sector," American Economic Review 85 (May 1995), 384-389. (with K. Rueben)

47. "Environmental Taxes on Intermediate and Final Goods When Both Can Be Imported," International Tax and Public Finance 2 (1995), 219-226. (with J. Rotemberg)

48. "Unemployment Benefits and Labor Market Transitions: A Multinomial Logit Model with Errors in Classification," Review of Economics and Statistics 77 (May 1995), 207-216. (with L. Summers)

49. "Balanced Budget Rules and Fiscal Policy: Evidence from the States," National Tax Journal 48 (September 1995), 329-338.

50. "Do 401(k) Contributions Crowd Out Other Private Saving?," Journal of Public Economics 58 (September 1995), 1-32. (with S. Venti and D. Wise)

51. "Survey Evidence on Employer Match Rates and Employee Saving Behavior in 401(k) Plans," Economics Letters 49 (1995), 313-317. (with L. Papke)

52. "A CEO Survey of U.S. Companies' Time Horizons and Hurdle Rates," Sloan Management Review 37 (Fall 1995), 43-53. (with L. Summers)

53. "Stock Ownership Patterns, Stock Market Fluctuations, and Consumption," Brookings Papers on Economic Activity 1995:2, 295-357. (with A. Samwick)

54. "Retail Price Reactions to Changes in State and Local Sales Taxes," National Tax Journal 49 (June 1996), 169-179.

55. "Budget Institutions and Fiscal Policy in the U.S. States," American Economic Review 86 (May 1996), 395-400.

56. "How Retirement Saving Programs Increase Saving," Journal of Economic Perspectives 10 (Fall 1996), 91-112. (with S. Venti and D. Wise) (substantially reprinted as "Les Programmes d'Epargne Retraite," Risques (Juillet-Septembre 1996), 81-102).

57. "The Impact of Fundamental Tax Reform on Employer-Provided Health Insurance," Insurance Tax Review (July 1996), 1-5. (with Jonathan Gruber)

58. "Demographic Structure and the Political Economy of Public Education," Journal of Public Policy and Management 16 (January 1997), 48-66.

59. “401(k) Plans and Future Patterns of Retirement Saving,” American Economic Review 88 (May 1998), 179-184. (with S. Venti and D. Wise)

60. “Demographic Change, Intergenerational Linkages, and Public Education,” American Economic Review 88 (May 1998), 315-320.

61. “Public Finance and Public Choice,” National Tax Journal 51 (June 1998), 391-396. (reprinted in J. Slemrod, ed., Tax Policy in the Real World (Cambridge: Cambridge University Press, 1999).

62. “Economists Views About Parameters, Values, and Policies: Survey Results in Labor and Public Economics, Journal of Economic Literature 36 (September 1998), 1387-1425. (with V. Fuchs and A. Krueger).

63. “The Rate of Return to Corporate Capital and Factor Shares: New Estimates Using Revised National Income Accounts and Capital Stock Data,” Carnegie-Rochester Conference Series on Public Policy 48 (June 1998), 211-246.

64. “Estate Tax Avoidance by High Net Worth Households: Why Are There So Few Tax Free Gifts?” Journal of Private Portfolio Management 1 (Summer 1998), 1-9.

65. "Congressional Distributive Politics and State Economic Performance," Public Choice 99 (April 1999), 185-216. (with S. Levitt).

66. “Unrealized Capital Gains and the Measurement of After-Tax Portfolio Performance,” Journal of Private Portfolio Management 1 (Spring 1999), 23-34.

67. "Taxing Retirement Income: Nonqualified Annuities and Distributions from Qualified Accounts," National Tax Journal 52 (September 1999), 563-586. (with J. Brown, O. Mitchell, and M. Warshawsky)

68. "New Evidence on the Money's Worth of Individual Annuities," American Economic Review 89 (December 1999), 1299-1318. (with O. Mitchell, M. Warshawsky, and J. Brown)

69. "Stock Market Wealth and Consumption," Journal of Economic Perspectives 14 (Spring 2000), 99-118.

70. "Saver Behavior and 401(k) Retirement Wealth," American Economic Review 90 (May 2000), 297-302. (with S. Venti and D. Wise).

71. "The Income and Tax Share of Very High Income Households, 1960-1995," American Economic Review 90 (May 2000), 264-270. (with D. Feenberg).

72. "The Distribution of Payroll and Income Tax Burdens, 1979-1999," National Tax Journal 53 (September 2000), 765-794. (with A. Mitrusi)

73. “Joint Life Annuities and Annuity Demand by Married Couples,” Journal of Risk and Insurance 67 (December 2000), 527-554. (with J. Brown)

74. "Five Prescriptions for Tax-Efficient Investing," Journal of Investment Consulting 3 (December 2000), 18-24.

75. “Capital Gains Tax Rules, Tax Loss Trading, and Turn-of-the-Year Returns,” Journal of Finance 56 (February 2001), 353-368. (with S. Weisbenner)

76. “Estate and Gift Taxes and Incentives for Inter Vivos Giving in the United States,” Journal of Public Economics 79 (January 2001), 237-264.

77. "Demographic Structure and Asset Returns," Review of Economics and Statistics 83 (November 2001), 565-584.

78. “Fiscal News, State Budget Rules, and Tax-Exempt Bond Yields,” Journal of Urban Economics 50 (2001), 537-562. (with K. Rueben)

79. "Selection Effects in the United Kingdom Individual Annuities Market," Economic Journal 112 (January 2002), 28-50. (with A. Finkelstein)

80. “Do After-Tax Returns Affect Mutual Fund Inflows?” Journal of Financial Economics 63 (March 2002), 381-414. (with D. Bergstresser)

81. “Exchange Traded Funds: A New Investment Option for Taxable Investors," American Economic Review 92 (May 2002), 422-427. (with J. Shoven)

82. "Recent Developments in and Future Prospects for Public Economics,” American Economist 46 (Fall 2002), 20-30. (reprinted in M. Szenberg and L. Ramrattan, eds., Shifting Paradigms: New Frontiers in Economics, Cambridge: Cambridge University Press, 2004, 185-202.).

83. “The Third Decade of the Journal of Public Economics,” Journal of Public Economics 86 (December 2002), 307-310. (with R. Gordon)

84. "Taxation and Household Portfolio Composition: Evidence from Tax Reforms in the 1980s and 1990s," Journal of Public Economics 87 (January 2003), 5-39. (with A. Samwick)

85. “An Interview with Martin Feldstein,” Macroeconomic Dynamics 7 (April 2003), 291-312.

86. “Inter-Asset Differences in Effective Estate Tax Rates,” American Economic Review 93 (May 2003), 360-365. (with S. Weisbenner)

87. “Employer Stock and Retirement Saving Accounts,” American Economic Review 93 (May 2003), 398-404.

88. "Adverse Selection in Insurance Markets: Policyholder Evidence from the U.K. Annuity Market," Journal of Political Economy 112 (February 2004), 183-208. (with A. Finkelstein)

89. “Portfolio Risk and Self-Directed Retirement Saving Programs,” Economic Journal 114 (March 2004), C26-C51.

90. “Taxation and Corporate Payout Policy,” American Economic Review 94 (May 2004), 171-175.

91. "Asset Allocation and Asset Location: Household Evidence from the Survey of Consumer Finances," Journal of Public Economics 88 (August 2004), 1893-1916. (with D. Bergstresser)

92. "Valuing Assets in Retirement Saving Accounts," National Tax Journal 57 (June 2004), 489-512.

93. "The Alternative Minimum Tax and Effective Marginal Tax Rates," National Tax Journal 57 (June 2004), 407-427. (with D. Feenberg)

94. "Copycat Funds: Information Disclosure Regulation and the Returns to Active Management in the Mutual Fund Industry," Journal of Law and Economics (October 2004), 515-541. (with M. Frank, D. Shackelford, and J. Shoven)

95. "Steven D. Levitt: 2004 John Bates Clark Medalist," Journal of Economic Perspectives 19 (2005), 181-198.

96. "Tax Loss Trading by Individual Investors," American Economic Review 95 (2005), 1605-1630. (with Z. Ivkovic and S. Weisbenner)

97. "Individual Decision-Making and Risk in Defined Contribution Pension Plans," Elder Law Journal 13 (2005), 285-308.

98. "What Does Performance in Graduate School Predict? Graduate Economics Education and Student Outcomes," American Economic Review 97 (May 2007), 512-518. (with S. Athey, L. Katz, A. Krueger, and S. Levitt)

99. "Income Inequality and Income Taxation," Journal of Policy Modeling 29 (2007), 623-633.

100. "The Shift from Defined Benefit Pensions to 401(k) Plans and the Pension Assets of the Baby Boom Cohort," Proceedings of the National Academy of Sciences 104 (2007), 13238-13243. (with S. Venti and D. Wise)

101. "Defined Contribution Plans, Defined Benefit Plans, and the Accumulation of Retirement Wealth," Journal of Public Economics 91 (2007), 2062-2086. (with J. Rauh, S. Venti, and D. Wise)

102. "Tax Expenditures for Owner-Occupied Housing: Deductions for Property Taxes and Mortgage Interest and the Exclusion of Imputed Rental Income," American Economic Review 98 (May 2008), 84-89. (with T. Sinai)

103. "Redistribution by Insurance Market Regulation: Analyzing a Ban on Gender-Based Retirement Annuities." Journal of Financial Economics 91 (2009), 38-58. (with A. Finkelstein and C. Rothschild)

104. "The Challenge of Tax Reform and Expanding the Tax Base," Economic and Social Review 41 (2010), 133-148.

105. "Deferred Tax Positions and Incentives for Corporate Behavior Around Tax Rate Changes," National Tax Journal 64 (March 2011), 27-58. (with N. Rao and J. Seidman)

106. "100 Years of the American Economic Review: The Top 20 Articles," American Economic Review 101 (2011), 1-10. (with K. Arrow, B. D. Bernheim, M. Feldstein, D. McFadden, and R. Solow)

107. “Revenue Cost and Incentive Effects of Income Tax Provisions for Owner-Occupied Housing,” National Tax Journal 64 (2011), number 2 (Part 2), 531-564. (with T. Sinai)

108. "Portfolio Substitution and the Revenue Cost of the Federal Income Tax Exemption for State and Local Government Bonds," National Tax Journal 64 (2011), number 2 (part 2), 591-614. (with A. Ramirez Verdugo)

109. "The Composition and Draw Down of Wealth in Retirement," Journal of Economic Perspectives 25 (Fall 2011), 95-118. (with S. Venti and D. Wise) (see also "Correction," Journal of Economic Perspectives 27 (Fall 2013), 219-221.)

110. "The Mirrlees Review: A Proposal for Systematic Tax Reform," National Tax Journal 65 (2012), 655-684. (with J. Mirrlees, S. Adam, T. Besley, R. Blundell, S. Bond, R. Chote, M. Gammie, P. Johnson, and G. Myles)

111. "Amy Finkelstein: 2012 John Bates Clark Medalist," Journal of Economic Perspectives 26 (Fall 2012), 171-184. (with J. Levin)

112. "Health, Education, and the Post-Retirement Evolution of Household Assets," Journal of Human Capital 7 (Winter 2013), 297-339. (with S. Venti and D. Wise)

113. “Testing for Adverse Selection with Unused Observables,” Journal of Risk and Insurance 81 (December 2014), 709-734. (with A. Finkelstein).

114. "Retirement Security in an Aging Society," American Economic Review 104 (May 2014), 1-33.

115. “Saver Heterogeneity and the Challenge of Assessing Retirement Savings Adequacy,” National Tax Journal 68 (June 2015), 377-388.

116. “The Effect of Taxes on Shareholder Inflows around Mutual Fund Distribution Dates,” Research in Economics 70 (2016), 7-19. (with W. Johnson)

117. “The Revenue and Incentive Effects of Basis Step-Up at Death: Lessons from the 2010 ‘Voluntary’ Estate Tax Regime,” American Economic Review, Papers and Proceedings 106 (May 2016), 662-667. (with R. Gordon and D. Joulfaian)

118. “Choosing Between an Estate Tax and a Basis Carryover Regime: Evidence from 2010,” National Tax Journal 69 (2016), 981-0002. (with R. Gordon and D. Joulfaian)

119. "The Asset Cost of Poor Health," Journal of the Economics of Ageing 9 (2017), 172-184. (with S. Venti and D. Wise)

120. “Do Required Minimum Distribution Rules Matter? The Effect of the 2009 Holiday on Retirement Plan Distributions,” Journal of Public Economics 151 (2017), 96-109. (with J. Brown and D. Richardson)

121. “Longitudinal Determinants of End-of-Life Wealth Inequality,” Journal of Public Economics 162 (2018), 78-88. (with S. Venti and D. Wise)

122. “Precautionary Liquidity and Retirement Saving,” American Economic Review, Papers and Proceedings 112 (May 2022), 147-150. (with M. Briere and A. Szafarz)

123. “Trends in Retirement and Retirement Income Choices by TIAA Participants: 2000-2018,” Journal of Pension Economics and Finance. 2023: 1-23. doi: 10.1017/S1474747223000070

Other Publications:

1. "The United Kingdom," in M. King and D. Fullerton, The Taxation of Income from Capital (Chicago: University of Chicago Press, 1984), 31-96. (with M. King and M. Naldrett)

2. "The Economic Effects of Dividend Taxation," in E. Altman and M. Subrahmanyam, eds., Recent Advances in Corporate Finance (Homewood, IL: Dow Jones-Irwin Publishing, 1985), 227-284. (with L. Summers)

3. "Explaining the Yield Spread Between Taxable and Tax-Exempt Bonds: The Role of Expected Future Tax Policy," in H.S. Rosen, ed., Studies in State and Local Public Finance (Chicago: University of Chicago Press, 1986), 5-49.

4. "Adjusting the Gross Changes Data: Implications for Labor Market Dynamics," in Proceedings of the Conference on Gross Flows in Labor Force Statistics (Washington: U.S. Bureau of the Census, 1985), 81-96. (with L. Summers)

5. "Public Policy Implications of Declining Old Age Mortality," in G. Burtless, ed., Work, Health, and Income Among the Elderly (Washington: Brookings Institution, 1986), 19-59. (with L. Summers)

6. "Tax Loss Carryforwards and Corporate Tax Incentives," in M. Feldstein, ed., The Effects of Taxes on Capital Accumulation (University of Chicago Press, 1987), 305-337. (with A. Auerbach)

7. "Money in the Utility Function: An Empirical Investigation," in W. Barnett and K. Singleton, New Approaches to Monetary Economics (Cambridge: Cambridge University Press, 1987), 219-240. (with J. Rotemberg)

8. "Why Have Corporate Tax Revenues Declined?" in L. Summers, ed., Tax Policy and the Economy (Cambridge: MIT Press, 1987), 1-29. (with A. Auerbach)

9. "Tax Reform and Residential Investment Incentives," in Proceedings of the 79th Annual Meeting of the National Tax Association-Tax Institute of America, 1987, 112-119.

10. "International Evidence on the Predictability of Stock Returns," in Proceedings of the CRSP Seminar on the Analysis of Security Prices (Chicago: CRSP, November 1988), 97-126. (With D. Cutler and L. Summers)

11. "Venture Capital and Capital Gains Taxation," in L. Summers, ed., Tax Policy and the Economy vol. 3 (Cambridge: MIT Press, 1989), 47-67.

12. "Dividends, Capital Gains, and the Corporate Veil: Evidence from Britain, Canada, and the United States," in D. Bernheim and J. Shoven, eds., National Saving and Economic Performance (Chicago: University of Chicago Press, 1990), 49-71.

13. "Tax Policy Toward Housing: Preliminary Evidence on the Effects of Recent Tax Reforms," in J. Slemrod, ed., Do Taxes Matter? The Impact of the Tax Reform Act of 1986 (Cambridge, MIT Press, 1990), 141-161.

14. "Debt and Deficits in the 1990s," in J. Makin, N. Ornstein, and D. Zlowe, eds., Balancing Act: Debt, Deficits, and Taxes (Washington: American Enterprise Institute, 1990), 1-42. (with R. Dornbusch)

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